

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROJECT BUSINESS MATTERS
AB 1012 Balance Report
Resolution:

Prepared By:
Terry L. Abbott
Chief
Division of Local Assistance
(916) 653-1776

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Original Signed by
ROBERT L. GARCIA
Chief Financial Officer
February 1, 2002

THIRD CYCLE AB 1012 UNOBLIGATED RSTP, CMAQ and REGIONAL TEA
“USE IT OR LOSE IT” BALANCE REPORT

BACKGROUND

AB 1012 was enacted October 10, 1999 with a goal of improving the delivery of transportation projects. A section of the legislation states that regional agency Congestion Mitigation and Air Quality Improvement (CMAQ) and Regional Surface Transportation Program (RSTP) funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the California Transportation Commission in the fourth year. In addition, the Department is required to submit quarterly progress reports on impacted fund balances to the Commission.

UPDATED AB 1012 UNOBLIGATED BALANCE REPORT

The annual notice to regional agencies for the third cycle of AB 1012 use it or lose it provisions was released on December 3, 2001. At that time, third cycle RSTP, CMAQ, and Regional Transportation Enhancement Activity (TEA) funds subject to potential reprogramming on December 3, 2002 totaled approximately \$184.5 million.

The December 31, 2001 balance reports show total funds subject to reprogramming for the third cycle of AB 1012 are approximately \$161.3 million. This is made up of approximately \$60.7 million in CMAQ funds for nine separate agencies, \$68.5 million in RSTP funds for eight agencies, and \$32 million in Regional TEA funds for 31 agencies. This is an overall reduction of \$23.2 million, or approximately 13 percent, from the initial third cycle notification. The largest reduction occurred in RSTP funds (\$15.3 million).

Information on RSTP, CMAQ and Regional TEA balances as of December 31, 2001, by region, is attached.

Attachment

APPORTIONMENT STATUS REPORT
as of December 31, 2001
Congestion Mitigation and Air Quality (CMAQ)

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<u>Region</u>	<u>CMAQ Unobligated 12/31/2001 Delivery Balance ¹</u>	<u>CMAQ Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²</u>
Butte	1,413,070	503,534
Fresno	14,457,565	3,995,468
Kern	3,964,174	-
Kings	-	-
Los Angeles	353,603	-
Madera	-	-
Merced	363,718	-
Monterey	2,519,418	-
Orange	56,721,075	19,269,881
Riverside	41,681,013	16,698,581
Sacramento (SACOG)	(6,842,402)	-
San Benito	681,409	257,249
San Bernardino	36,133,117	14,765,114
San Diego	(4,879,880)	-
S.F. Bay Area (MTC)	(16,571,695)	-
San Joaquin	3,434,146	-
San Luis Obispo	-	-
Santa Barbara	5,709,136	1,607,456
Santa Cruz	571,235	-
Stanislaus	2,888,720	-
Tahoe	807,009	272,752
Tulare	7,483,268	3,279,843
Ventura	7,635,239	-
Rural Counties & SCAG	-	-
TOTAL	\$ 158,522,938	\$60,649,878

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

APPORTIONMENT STATUS REPORT
as of December 31, 2001
Regional Surface Transportation Program (RSTP)

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<u>Region</u>	<u>RSTP Unobligated 12/31/2001 Delivery Balance ¹</u>	<u>RSTP Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²</u>
Butte	(22,512)	-
Fresno	10,916,549	3,571,175
Kern	2,924,051	-
Kings	-	-
Los Angeles	111,851,695	14,317,250
Madera	-	-
Merced	1,376,593	-
Monterey	1,979,858	-
Orange	68,012,966	17,515,744 ³
Riverside	16,824,888	3,945,115
Sacramento (SACOG)	17,896,605	2,718,839
San Benito	227,951	-
San Bernardino	5,130,986	-
San Diego	52,676,342	25,186,993
S.F. Bay Area (MTC)	(16,716,695)	-
San Joaquin	1,281,927	-
San Luis Obispo	1,495,741	-
Santa Barbara	2,921,012	-
Santa Cruz	(242,644)	-
Stanislaus	3,298,591	-
Tahoe	307,101	-
Tulare	-	-
Ventura	3,553,889	-
Rural Counties & SCAG	3,717,259	1,261,468
TOTAL	\$ 289,412,153	\$68,516,584

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

³ Orange County's balance is a result of State use of Orange County CMAQ funds.

- Repayment was made with RSTP funds in cycle 2.

- Orange County must obligate cycle 2 and cycle 3 balances by 12/03/02.

Note: 'The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

APPORTIONMENT STATUS REPORT
as of December 31, 2001
Regional Transportation Enhancement Activities (TEA)

<u>Region</u>	<u>R-TEA Unobligated 12/31/2001 Delivery Balance ¹</u>	<u>R-TEA Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²</u>
Butte	-	-
Fresno	2,128,623	934,308
Kern	719,690	-
Kings	123,719	-
Los Angeles	27,217,719	11,137,454
Madera	-	-
Merced	-	-
Monterey	1,097,333	454,984
Orange	5,228,404	2,099,605
Riverside	5,777,473	2,075,996
Sacramento (SACOG)	3,117,948	1,061,790
San Benito	178,892	71,611
San Bernardino	5,628,683	2,661,668
San Diego	4,998,513	1,390,786
S.F. Bay Area (MTC)	14,516,911	5,680,525
San Joaquin	123,604	-
San Luis Obispo	723,279	78,135
Santa Barbara	2,053,053	736,018
Santa Cruz	571,272	193,642
Stanislaus	471,081	-
Tahoe	322,980	87,070
Tulare	1,899,827	719,642
Ventura	702,449	-
Rural Counties & SCAG	7,974,292	2,700,698
TOTAL	\$85,575,745	\$32,083,932

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

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APPORTIONMENT STATUS REPORT

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as of December 31, 2001

Regional Surface Transportation Program (RSTP) for Rural Counties

<u>Region</u>	RSTP Unobligated 12/31/2001 Delivery Balance ¹	RSTP Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²
Rural County Information:		
Del Norte	-	-
Humboldt	19,000	-
Lake	-	-
Mendocino	-	-
Lassen	-	-
Modoc	-	-
Plumas	-	-
Shasta	59,043	-
Siskiyou	-	-
Tehama	-	-
Trinity	-	-
Colusa	13,926	-
El Dorado	1,300,186	239,982
Glenn	51,439	-
Nevada	-	-
Placer	-	-
Sierra	-	-
Inyo	-	-
Mono	-	-
Alpine	-	-
Amador	49,357	-
Calaveras	-	-
Mariposa	-	-
Tuolumne	-	-
Imperial (SCAG)	2,224,308	1,021,486
Rural Combined Totals:	\$3,717,259	\$1,261,468

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

APPORTIONMENT STATUS REPORT as of December 31, 2001

Regional Transportation Enhancement Activities (TEA) for Rural Counties

<u>Region</u>	<u>R-TEA Unobligated 12/31/2001 Delivery Balance ¹</u>	<u>R-TEA Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²</u>
Rural County Information:		
Del Norte	303,950	83,047
Humboldt	1,082,345	346,760
Lake	205,829	58,141
Mendocino	(108,026)	-
Lassen	620,230	209,203
Modoc	-	-
Plumas	384,775	130,263
Shasta	30,683	-
Siskiyou	869,132	257,124
Tehama	-	-
Trinity	(381,330)	-
Colusa	135,377	45,578
El Dorado	265,219	57,980
Glenn	-	-
Nevada	(55,680)	-
Placer	231,389	-
Sierra	122,863	60,446
Inyo	1,682,499	456,111
Mono	924,979	334,171
Alpine	99,671	49,036
Amador	212,988	97,673
Calaveras	-	-
Mariposa	190,715	70,418
Tuolumne	304,998	149,363
Imperial (SCAG)	851,686	295,384
Rural Combined Totals:	\$7,974,292	\$2,700,698

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- Cycle 3 assumes the use of all cycle 2 balances.

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